

DEWIPAT No. 30.030.10.US  
UNITED STATES PATENT AND TRADEMARK OFFICE**TRANSMITTAL FORM**

Application Number	10/609,061	
Filing Date	06/28/2003	
First Named Inventor	Shaoling Li	
Title	Improved Expandable Osmotic Composition and Coating Suspension	
Art Unit		
Confirmation Number	6874	
Examiner Name		
Total Number of Pages Submitted	Attorney Docket Number	ARC 3162 R1

**ENCLOSURES (check all that apply)**

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52/1.53<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition to Revive Abandoned Application<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Petition<br><input checked="" type="checkbox"/> Power of Attorney, Associate, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Acknowledgement Postcard<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><u>Remarks:</u> |
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm/Individual Adenike A. Adewuya

Signature *Adenike Adewuya*

Date 2/24/2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Adenike A. Adewuya

Signature *Adenike Adewuya*

Date 2/24/2004

**SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.**



Dewipat No.: 30.030.10.US  
UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT**

Application Number	10/609,061
Filing Date	June 28, 2003
First Named Inventor	Shaoling Li
Title	Improved Expandable Osmotic Composition and Coating Suspension
Art Unit	
Confirmation Number	6874
Examiner Name	
Attorney Docket Number	ARC 3162 R1

Applicant submits:

- ☒ References listed on the attached form PTO 1449\*.
- ☒ Copies of the references are enclosed (U.S. patents/applications omitted if filing date is after June 30, 2003).
- ☒ A copy of a communication from a foreign patent office in a counterpart application is enclosed.
- ☐ English language version of foreign search report indicating degree of relevance is enclosed.
- ☐ Under 35 USC 120, this application relies on the earlier filing date of application serial number \_\_\_\_\_, filed on \_\_\_\_\_. The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

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This statement is being filed:

- ☐ with the application.
- ☐ within three months of the filing date of the application.
- ☒ before the receipt of a first Office Action on the merits.
- ☐ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.
- ☐ I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_\_\_, which is not more than three months prior to filing of this statement.
- ☐ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.
- ☐ Late submission fee \$ \_\_\_\_\_ under 37 CFR 1.17(p) is enclosed.
- ☐ after a final Office Action or a Notice of Allowance, but before payment of the issue fee. I, the undersigned, hereby certify that each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_\_\_, which is not more than three months prior to filing this statement. Applicant hereby petitions under CFR 1.97(d) for this statement to be considered.
- ☐ Submission fee \$ \_\_\_\_\_ under 37 CFR 1.17(p) is enclosed.
- ☐ Please apply any additional charges or credits to Deposit Account No. \_\_\_\_\_.

SIGNATURE OF Applicant, Attorney, or Agent

Name	Adenike A. Adewuya	Registration No.	42,254
Signature	<i>Adenike Adewuya</i>		
Date	2/24/2004	Telephone	281-477-3450

☒ \*Total of \_\_\_\_\_ form PTO-1449 are submitted.



## UNITED STATES PATENT AND TRADEMARK OFFICE

<b>INFORMATION DISCLOSURE CITATION (MODIFIED FORM PTO-1449)</b>				Application Number	10/609,061
				Filing Date	June 28, 2003
				First Named Inventor	Shaoling Li
				Title	Improved Expandable Osmotic Composition
				Art Unit	
				Confirmation Number	6874
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	ARC 3162 R1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Publication Date	Applicant/Patentee
	A1	US-2001/0036472 A1	11/01/2001	Wong et al.
	A2	US-4,765,989	08/23/1988	Wong et al.
	A3	US-5,324,280	06/28/1994	Wong et al.
	A4	US-5,531,736	07/02/1996	Wong et al.
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Publication Date	Applicant/Patentee	T

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	A5	PCT International Search Report dated 11/26/2003 from PCT/US03/21361	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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